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## Substitute for form 1449A/PTO INFORMATION DISCLOSURE

STATEMENT BY APPLICANT (use as many sheets as necessary)

Sheet 3

Compl te if Known						
Application Number	10/172.614					
Filing Date	June 14, 2002					
First Named Inventor	John O'Connell, et al					
Group Art Unit	Unknown					
Examiner Name	Unknown					
Attorney Docket Number	A0000239-01-FJT					

U.S. PATENT DOCUMENTS									
Examiner Initials	Cite No.1	U.S. Patent Document  Number  Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
		5.969,156	Briggs, et al	10/19/1999	rigures Appear				
		4.681,893	Roth	07/21/1987					
		5.273.995	Roth	12/28/1993					
		5.003.080	Butler, et al	03/26/1991					
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		5.216.174	Butler, et al	06/01/1993	<del></del>				
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		5.489.691	Butler, et al	02/06/1996					

Office <sup>3</sup>	Foreign Patent Docui	Ment Kind Code <sup>5</sup> (if known)	Name of Patentee or	Date of Publication of	Pages, Columns, Lines,	T-
	Number <sup>4</sup>					
IW∩l		(ii kiidiii )	Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T6
•	99/32434		McCabe, et al	07/01/1999		$\top$
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WO	97/03959		Briggs, et al	02/06/1997		土
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			71100700	WO 97/03958 McKenzie	WO 97/03958 McKenzie 02/06/1997	WO 97/03958 McKenzie 02/06/1997

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Signature	Considered	

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



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Substitute	for form 1449A/I	PTO		Comp	ol te if Known	•
INFO	DMATIO	ATION DISCLOSURE IENT BY APPLICANT	Application Number	10/172,614		
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Filing Date	June 14, 2002			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	First Named Inventor	John O'Connell, et al				
	(use as many sheets as necessary)	Group Art Unit	Unknown			
	use as many s	neets as ned	essary)	Examiner Name	Unknown	
Sheet	2	of	3	Attorney Docket Number	A0000239-01-FJT	

			U.S. PATENT DOCU	IMENTS	
Examiner Cite Initials No.		U.S. Patent Document  Number  Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,510,488	Butler, et al	04/23/1996	I Igores Appear
		5,998,633	Jacks, et al	12/07/1999	······································
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	Cito	F	oreign Patent Do	cument	Name of Patentee or Applicant of Cited Document	Date of Publication of	Pages, Columns, Lines,	T
	Cite No. <sup>1</sup>	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)		Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	<b>T</b> 6
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitu	ite for form 1449B/PTC	)		C mplete if Known			
				Application Number	10/172.614		
INFO	DRMATION	DIS	SCLOSURE	Filing Date	June 14, 2002		
STATEMENT BY APPLICANT				First Named Inventor	John O'Connell, et al		
0.7		•		Group Art Unit	Unknown		
	(use as many sl	neets a	is necessary)	Examiner Name	Unknown		
Sheet	3	of	3	Attorney Docket Number	A0000239-01-FJT		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		PCT International Search Report for PCT/IE00/00150	
		BROWER, et al, "The Synthesis of (4R-cis)-1,1-Dimethylethyl 6-cyanomethyl-2, 2-dimethyl-1,3-dioxane-4-acetate, a Key Intermediate for the Preparation of CI-981, a Highly Potent, Tissue Selective Inhibitor of HMG-CoA Reductase", Tetrahedron Letters, Vol. 33, No. 17, 1992; pp 2279-2282	
		BAUMANN, et al, "The Convergent Synthesis of CI-981, an Optically Active, Highly Potent, Tissue Selective Inhibitor of HMG-CoA Reductase", Tetrahedron Letters, Vol. 33, No. 17, 1992; pp 2283-2284; XP 00608147	
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Examiner Date Considered Signature

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.



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